PATENT Attorney Docket No. UCSD1310-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:Salvatore Albani, et al.Art Unit:1633Serial No.:09/828,574Examiner:UnassignedFiled:April 6, 2001Title:STRESS PROTEINS AND PEPTIDES AND METHODS OF USE THEREOF

Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

TRANSMITTAL LETTER

TECH CENTER 1600/2900

JUN 1 4 2002

Transmitted herewith for filing in connection with the above-identified patent application, please find the following:

- (1) Request for Corrected Filing Receipt; (2 pgs)
- (2) Copy of Filing Receipt reflecting changes; (2 pgs) and
- (3) Return postcard.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to being deposited with the United States Postal mail on this date, $\underline{- \psi - 4 - \psi 2}$, in an Commissioner for Patents, Washington, D.C.	Service as first class envelope addressed to:
(Name of Person mailing Paper)	1-4-6-
(Signature of Person Mailing Paper)	Date



RECEIVED

Ruciat

In re Application of: Salvatore Albani, et al. Application No.: 09/828,574 Filed: April 6, 2001 Page 2

PATENT Attorney Dockett No. UCSD1310-1

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. <u>50-1355</u>. A copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Date: June 3, 2002

Richàrd J. Imbra Registration No. 37,643 Telephone: (858) 677-1496 Facsimile: (858) 677-1465

USPTO CUSTOMER NO. 28213

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1100 San Diego, California 92121-2133



PATENT Attorney Docket No. UCSD1310-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:Salvatore Albani, et al.Art Unit:1633Serial No.:09/828,574Examiner:UnassignedFiled:April 6, 2001Title:STRESS PROTEINS AND PEPTIDES AND METHODS OF USE THEREOF

Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

RECEIVED

REQUEST FOR CORRECTION OF FILING RECEIPT JUN 2/4/2002

Sir:

TECH CENTER 1600-2900

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated in yellow highlight on the attached copy of the filing receipt and herein in **BOLD**.

Please change the city name from "Utrechi" to --UTRECHT--.

Applicants accordingly requests the correction be made of record.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents refer being deposited with the United States I	
mail on this date, $(2 - 4 - 2 - 2)$. Commissioner for Patents, Washington,	
in white	
(Name of Person mailing Pdper)	. •
Mile / 1 h	A 1-7-02
Signature of Person Mailing Paper)	Date

In re Application of: Salvatore Albani, et al. Application No.: 09/828,574 Filed: April 6, 2001 Page 2

No fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, the Commissioner is hereby authorized to charge the amount of this fee, or credit any overpayments, to Deposit Account No. <u>50-1355</u>.

Respectfully submitted,

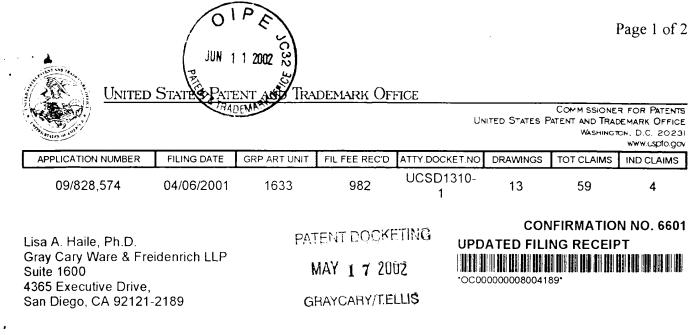
Date: June 3 2002

(Inh icha

Richard J. Imbra Registration No. 37,643 Telephone: (858) 677-1496 Facsimile: (858) 677-1465

USPTO CUSTOMER NO. 28213

GRAY CARY WARE & FREIDENRICH LLP 4365 Executive Drive, Suite 1100 San Diego, California 92121-2133



Date Mailed: 05/02/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

pplicant(s)

Salvatore Albani, Encinitas, CA; Berent J. Prakken, Utrechi, NETHERLANDS;

Utrecht

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/224,104 08/09/2000

Foreign Applications

If Required, Foreign Filing License Granted 06/20/2001

Projected Publication Date: 08/08/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Stress proteins and peptides and methods of use thereof

RECEIVED

JUN 2 4 2002

TECH CENTER 1600/2900



LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of vExport Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).